

16569 U.S.PTO
012904

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No. END20030132US1

Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

37945
 PATENT TRADEMARK OFFICE
 CUSTOMER NUMBER

Sir:

Transmitted herewith for filing is the patent application of Inventor(s):

ROBERT LEE ANGELL

17548 U.S.PTO
 012904

For: **INCREMENTAL COMPLIANCE ENVIRONMENT, AN ENTERPRISE-WIDE SYSTEM FOR DETECTING FRAUD**

Assignee Name: International Business Machines Corporation
 Assignee Residence: Armonk, New York

Enclosed are also:

- 28 Pages of Specification including an Abstract
 5 Pages of Claims (Claims 1 - 25)
 6 Sheet(s) of formal drawings
 A Declaration and Power of Attorney
 Form PTO 1595, in duplicate, and assignment of the invention to IBM Corporation
 Information Disclosure Statement
 Form PTO 1449 and References AD-AE

CLAIMS AS FILED

FOR	Number Filed	Number Extra	Rate	Basic Fee (\$770)
Total Claims	25	-20 =	5 X \$ 18 =	\$90
Independent Claims	3	-3 =	0 X \$ 86 =	\$0
Multiple Dependent Claims	0		X \$290 =	\$0
Total Filing Fee				\$860

- Please charge \$860.00 to IBM Corporation, Deposit Account No. 09-0457.
 The Commissioner is hereby authorized to charge payment of the following fees associated with the communication or credit any over payment to IBM Corporation, Deposit Account No. 09-0457. A duplicate copy of this sheet is enclosed.
 Any additional filing fees required under 37 CFR § 1.16.
 Any patent application processing fees under 37 CFR § 1.17.

Respectfully,

John Pivnichny
 John R. Pivnichny
 Reg. No. 43,001
 IBM Corporation
 Intellectual Property Law Dept.
 Dept. IQ0A / Bldg. 040-3
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CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"Express Mail" mailing label number EV 342660651 US. Date of deposit 1/29/2004.

I hereby certify that the papers or fees listed below are being deposited with the United States Postal Service by "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.


Bethany Fitzpatrick

ENCLOSED are the following documents, being sent by "Express Mail":

1. Transmittal Letter in duplicate;
2. Recordation in duplicate;
3. Assignment (1);
4. Declaration and Power of Attorney (1);
5. Patent Application (28 pgs. Spec. & abstract, 5 pgs. Claims 1-25);
6. 6 pages of formal drawings;
7. Information Disclosure Statement;
8. Form PTO 1449;
9. References AD-AE; and
10. Two return postcards.